

Ethical Judgment of Agents' Behaviors in Multi-Agent Systems

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Content

- 1. Motivation
- 2. Ethics and Autonomous agents
- 3. Ethical judgment process
- 4. Ethical judgment of others
- 5. Proof of concept
- 6. Conclusion

Content

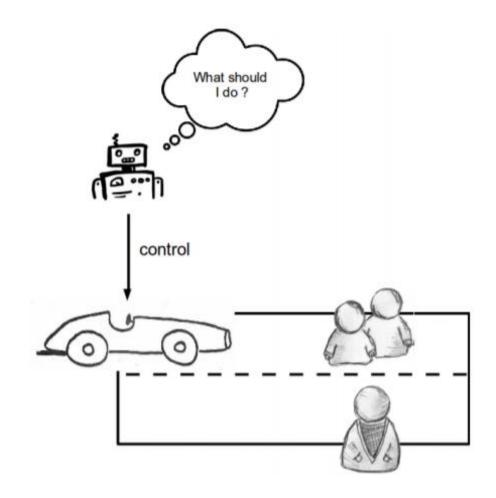
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Motivation

Single-agent

- Existing works take the a single-agent perspective
- **✓** Question:

What will happen when agents are in interaction with **other artificial agents or human beings** that can use **other ethical concepts**?



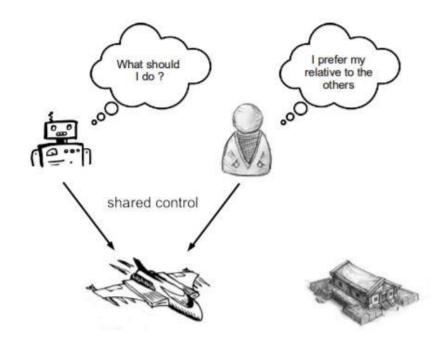
Motivation

Multi-agent

- ✓ Multi-agent perspective agents need to be able to judge the ethics of the others
- **✓** Proposition:

A model of ethical judgment an agent can use in order to judge the ethical dimension of both

- its own behavior and
- the other agents' behaviors.





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1. Moral philosophy concept

Moral

"Morals"

- ✓ not explicit penalties, officials and written rules.
- ✓ distinguish between good and evil
- ✓ supported and justified by some moral values

✓ A set of moral rules and moral values establish:

Theories of the good

allows humans to assess the goodness or badness of a behavior

Theories of the right

define some criteria to recognize a fair or, at least, acceptable option

Stealing can be considered

as immoral

it is acceptable for a starving orphan to rob an apple in a supermarket

1. Moral philosophy concept

Ethic

"Ethics is a normative practical philosophical discipline of how humans should act and be toward the others.

Ethics uses **ethical principles** to conciliate morals, desires and capacities of the agent".

Three major approaches \rightarrow

Virtue ethics

an agent is ethical iff he acts and thinks according to some values as wisdom, bravery, justice, and so on

Deontological ethics

an agent is ethical iff he respects obligations and permissions related to possible situations

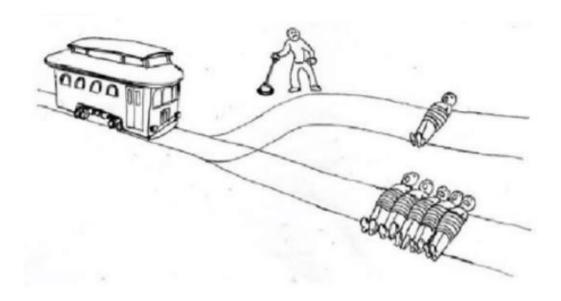
Consequentialist ethics

an agent is ethical iff he weighs the morality of the consequences of each choice and chooses the option which has the most moral consequences

1. Moral philosophy concept

Ethical dilema

a choice for which an ethical principle is not able to indicate the best option, regarding a given theory of good



Judgment

"Judgment is the faculty of distinguishing the most satisfying option in a situation, regarding a set of ethical principles, for ourselves or someone else".

both good and/or bad ex: kill or be killed

- ✓ The core of ethics.
- ✓ Final step to make a decision

2. Existing autonomous agent architectures that propose ethical behaviors

Ethics by design

design an ethical agent by an a priori analysis

- ✓ a direct and safe implementation
- ✓ lack of explicit representation

Cognitive ethical architecture

Full explicit representations (BDI)

- ✓ able to use explicit norms and to justify its decisions
- ✓ cannot other agents' ethics



Ethics by Casuistry

inferring ethical rules then produce an ethical behavior

- √ offers a generic architecture
- ✓ still not explicitly described

Logic-Based ethics

direct translation of ethical principles into logic programming
✓ simple formalization

✓ only judge single ethical principle

3. Requirements for judgment in MAS

Requirement 1

Explicit representation of ethics

✓ in order to express and conciliate as many moral and ethical theories as possible

Theories
of the good
moral values
moral rules

Theories
of the right
ethical principles
ethical preferences

- ✓ easier configuration
- ✓ better communication

Requirement 2

Explicit process of ethical judgment

- ✓ in order to allow them both individual and collective reasoning on various theories of good and right.
- judgment based on the ability to substitute the moral or the ethics of an agent by another one

Agents should use judgment as:

- ✓ as a decision making process as in social choice problems
- ✓ as the ability to judge other agents according to their behaviors.

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1. Global view of EJP

- ✓ Uses:
 - evaluation
 - o moral knowledge
 - o ethical knowledge
- ✓ Structured along:
 - Awareness Process (AP)
 - Evaluation Process (EP)
 - Goodness Process (GP)
 - Rightness Process (RP)
- √ based on mental states
 - beliefs
 - o desires
- ✓ An ethical judgment process is defined

$$EJP = \langle AP, EP, GP, RP, O \rangle$$

Ontology $\mathcal{O}\left(\mathcal{O}=\mathcal{O}_v\cup\mathcal{O}_m\right)$ of moral values (\mathcal{O}_v) and moral valuations (\mathcal{O}_m) .

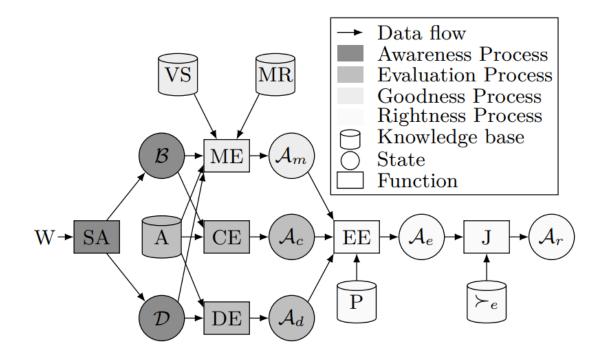


Figure 1: Ethical judgment process

2. Awareness Process

AP generates the set of beliefs that describes the current situation from the world W, and the set of desires that describes the goals of the agent.

It is defined as:

 $AP = \langle \mathcal{B}, \mathcal{D}, SA \rangle$ where

- \checkmark **\mathcal{B}** is the set of beliefs that the agent has about \mathbf{W} ,
- \checkmark **\mathcal{D}** is the set of the agent's desires,
- ✓ SA is a situation assessment function that updates \mathcal{B} and \mathcal{D} from W:

 $SA:W\rightarrow 2^{\mathcal{B}\cup\mathcal{D}}$

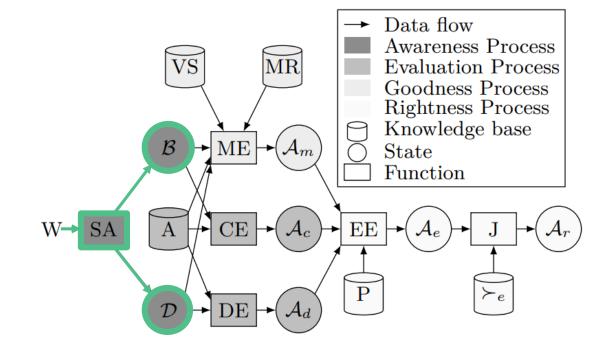


Figure 1: Ethical judgment process

3. Evaluation Process

EP produces desirable actions and executable actions from the set of beliefs and desires. It is defined as:

$$EP = \langle \mathcal{A}, \mathcal{A}_c, \mathcal{A}_d, CE, DE \rangle$$
 where

- ✓ A is the set of actions (described as a pair of conditions and consequences bearing on beliefs and desires)
- \checkmark desirability evaluation **DE** function:

$$DE: 2^{\mathcal{D}} \times 2^{\mathcal{A}} \rightarrow 2^{\mathcal{A}_d}$$

✓ capability evaluation *CE* functions:

$$CE: 2^{\mathcal{B}} \times 2^{\mathcal{A}} \rightarrow 2^{\mathcal{A}_c}$$

- \checkmark $\mathcal{A}_d \subseteq \mathcal{A}$ is set of desirable action that allows to satisfy a desire
- \checkmark $\mathcal{A}_c \subseteq \mathcal{A}$ is set of feasible actions that can be applied according to the current beliefs about the world

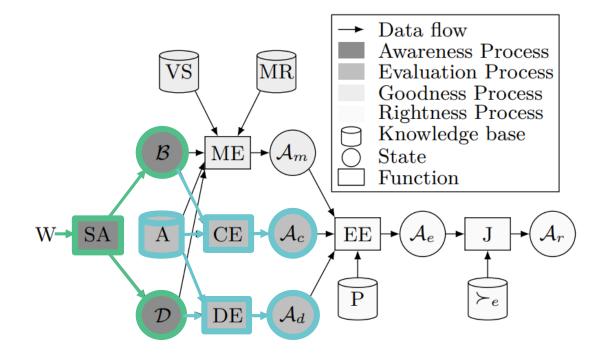


Figure 1: Ethical judgment process

4. Goodness Process

GP identifies moral actions given the agent's beliefs and desires, the agent's actions and a representation of the agent's moral values and rules. It is defined as:

$$GP = \langle VS, MR, \mathcal{A}_m, ME \rangle$$
 where

✓ **ME** is the moral evaluation function:

$$ME = 2^{\mathcal{D}} \times 2^{\mathcal{B}} \times 2^{\mathcal{A}} \times 2^{VS} \times 2^{MR} \rightarrow 2^{\mathcal{A}_m}$$

- ✓ **VS** is the knowledge base of value supports $\langle\langle give(\alpha), \{belief(poor(\alpha))\}, generosity\}\rangle$
- ✓ MR is the knowledge base of moral rules $\langle \{human(\alpha)\}\langle kill(\alpha), _ \rangle, immoral \rangle$
- \checkmark $\mathcal{A}_m \subseteq \mathcal{A}$ is the set of moral actions.

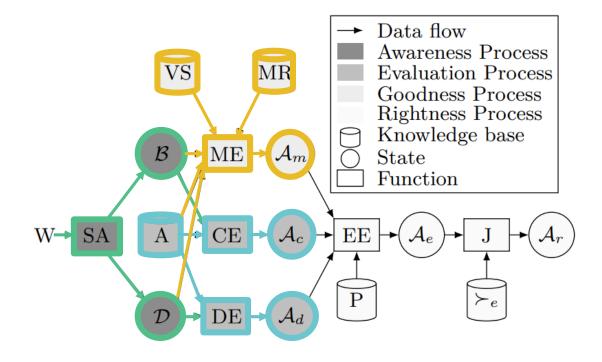


Figure 1: Ethical judgment process

5. Rightness Process

RP produces rightful actions given a representation of the agent's ethics. It is defined as:

$$RP = \langle P, \succ_e, \mathcal{A}_e, \mathcal{A}_r, EE, J \rangle$$
 where

 \checkmark P is a knowledge base of ethical principles. An **ethical principle** $p \in P$ is a function:

$$p: 2^{\mathcal{A}} \times 2^{\mathcal{D}} \times 2^{\mathcal{B}} \times 2^{MR} \times 2^{V} \rightarrow \{\bot, \top\}$$

✓ **EE** evaluation of ethics:

$$2^{\mathcal{A}_d} \times 2^{\mathcal{A}_c} \times 2^{\mathcal{A}_m} \times 2^P \to 2^{\mathcal{E}} (2^{\mathcal{A}_e})$$

where
$$\mathcal{E}(\mathcal{A}_e) = A \times P \times \{\bot, \top\}$$
,

- \checkmark $\succ_e \subseteq P \times P$ an ethical preference relationship,
- ✓ J judgment function: $J: 2^{\varepsilon} \times 2^{\succ_e} \rightarrow 2^{\mathcal{A}_r}$
- \checkmark $\mathcal{A}_r \subseteq \mathcal{A}$ the set of rightful actions

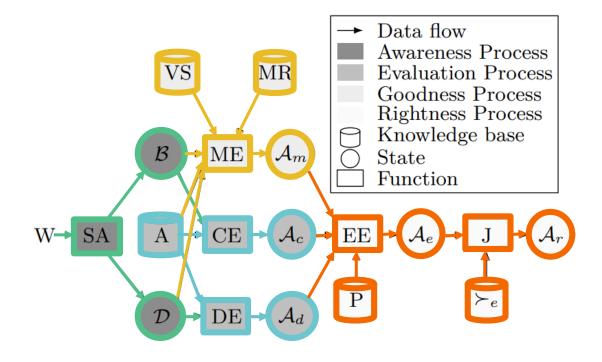


Figure 1: Ethical judgment process

5. Example

A

An agent A hides in an agent B's house in order to escape an agent C.

C asks B where is A to kill him, threatening to kill B in case of non-cooperation.

B's moral rules are "prevents murders" and "don't lie".

B's desires are to avoid any troubles with C.

B knows the truth and can consider one of the possible actions:

- **1. tell** C the truth (satisfying a moral rule and a desire)
- **2. lie** or **refuse** to answer (both satisfying a moral rule).

B knows three ethical principles:

P1 If an action is possible, motivated by at least one moral rule or desire, do it,P2 If an action is forbidden by at least one moral rule, avoid it,

P3 Satisfy the doctrine of double effect

- 1. the action in itself from its very object is good or at least indifferent
- 2. the good effect and not the evil effect are intended
- 3. the good effect is not produced by means of the evil effect
- 4. there is a proportionately grave reason for permitting the evil effect

5. Example

B's **evaluation of ethics** return the tuples given in the following table where each row represents an action and each column an ethical principle:

Principle	P1	P2	Р3
tell the truth	T		T
lie	T		
refuse	Т	Т	Т

Table 1: Ethical evaluation of agent B's actions

Lets suppose that B's ethical preferences are $P3 >_e P2 >_e P1$ and J uses a tie-breaking rule based on a lexicographic order.

- ✓ Then "refusing to answer" is the rightful action because it satisfies P3 whereas "lying" doesn't.
- ✓ Even if "telling the truth" satisfies the most preferred principle, "refusing to answer" is righter because it satisfies also P2.
- ✓ If Judgment allows dilemma: without the tie-breaking rule both "telling the truth" and "refusing to answer" are the rightest actions

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The judgment process can also judge the **behaviors of other agents** in a more or less informed way **by putting itself at their place**.



where the judgment of the judged agent is realized

without anywith somewith a completeinformationinformationknowledge

1. Blind ethical judgment



Blind ethical judgment where the judgment of the judged agent is realized without any information about this agent, except a behavior.

- ✓ The judging agent uses:
 - o its own assessment of the situation,
- o its own theory of good and theory of right to evaluate the behavior of the judged agent.

2. Partially informed ethical judgment

Partially informed ethical judgment

where the judgment of the judged agent is realized with some information about this agent

Situation-aware ethical judgment - knows **B**, **D**

the judging agent can put itself in the position of the judged agent and can judge if the action executed by the judged agent belongs to the rightful actions of the judging agent, considering its own theories

Theory-of-good-aware ethical judgment - knows MV, MR

the judging agent can evaluate the morality of a given action from the point of view of the judged one, this judgment allows to judge an agent that has different duties

Theory-of-right-aware ethical judgment -knows $P_{i} >_{e}$

It allows to evaluate how the judged agent at conciliates its desires, moral rules and values in a situation by comparing the sets of rightful actions

3. Fully informed judgment

Fully informed ethical judgment

Fully informed ethical judgment where the judgment of the judged agent is realized with a complete knowledge of the states and knowledge used within the judged agent's judgment process

- ✓ consider both goodness and rightness process to judge another agent
- ✓ needs information about all the internal states and knowledge bases of the judged agent
- ✓ useful to check the conformity of the behavior of another agent with the judge's information about its theories of good and right

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They mainly focus on an agent named robin_hood.

% robin_hood is an ethical agent →

This agent illustrates an example of ethical agent in a multi-agent system where agents have

- ✓ beliefs (about richness, gender, marital status and nobility)
- ✓ desires
- ✓ their own judgment process.

They are able to give, court, tax and steal from others or simply wait.

1. Awareness Process

```
AP = \langle \mathcal{B}, \mathcal{D}, SA \rangle where \mathcal{B} is the set of beliefs that the agent has about W, \mathcal{D} is the set of the agent's desires, SA is a situation assessment function that updates \mathcal{B} and \mathcal{D} from W
```

- ✓ **SA** is not implemented
- ✓ Beliefs are directly given in the program.
 a subset of the beliefs of robin_hood →
- ✓ Desires

desires to accomplish an action (desirableAction)

- o robin hood desires to court marian
- robin_hood desires to steal from any rich agent desires to produce a state (desirableState)
- prince_john desires to be rich

agent(little john).

poor(paul). % a poor villager

2. Evaluation Process

```
EP = \langle \mathcal{A}, \mathcal{A}_c, \mathcal{A}_d, \mathit{CE}, \mathit{DE} \rangle where \mathcal{A} is the set of actions desirability evaluation \mathit{DE} function: \mathit{DE} : 2^{\mathcal{D}} \times 2^{\mathcal{A}} \to 2^{\mathcal{A}_d} capability evaluation \mathit{CE} functions: \mathit{CE} : 2^{\mathcal{B}} \times 2^{\mathcal{A}} \to 2^{\mathcal{A}_c} \mathcal{A}_d \subseteq \mathcal{A} is set of desirable action that allows to satisfy a desire \mathcal{A}_c \subseteq \mathcal{A} is set of feasible actions that can be applied according to the current beliefs about the world
```

- ✓ The agents' knowledge about actions is described as labels associated to sets (possibly empty) of conditions and consequences
 - A condition is a conjunction of beliefs here: the fact that A is not poor
 - The consequence of an action is a clause composed of the new belief generated by the action and the agent concerned by this consequence
- \checkmark The **desirability evaluation** deduces the set of actions \mathcal{A}_d An action is desirable \mathcal{A}_d if it was directly desired or if its consequences are a desired state

```
agent(B), agent(A), A!=B, not poor(A).
consequence(give,A,B,rich,B):- agent(A), agent(B).
consequence(give,A,B,poor,A):- agent(A), agent(B).

desirableAction(A, B, X, C):-
   desireState(A,S,D), consequence(X,B,C,S,D).
```

action(give).

condition(give, A, B):-

The capability evaluation evaluates from beliefs and conditions the set of actions \mathcal{A}_c An action is possible if its conditions are satisfied.

possibleAction(A,X,B):- condition(X,A,B).

3. Goodness Process

```
GP = \langle VS, MR, \mathcal{A}_m, ME \rangle where ME is the moral evaluation function: ME = 2^{\mathcal{D}} \times 2^{\mathcal{B}} \times 2^{\mathcal{A}} \times 2^{VS} \times 2^{MR} \rightarrow 2^{\mathcal{A}_m} VS is the knowledge base of value supports MR is the knowledge base of moral rules \mathcal{A}_m \subseteq \mathcal{A} is the set of moral actions.
```

Example: virtuous approach

- ✓ value supports *VS*
- ✓ the agents' **moral rules** for each ethical approaches
 - It's a moral virtue and duty for Robin to be generous with the poors →
- ✓ morality evaluation **ME**
 - o gives the set of moral actions
 - \circ produces results \mathcal{A}_m

```
-generous(A,steal,B):- A != B, agent(A), agent(B).
-generous(A,tax,B) :- A != B, agent(A), agent(B).

moral(robin_hood,A,X,B):-
    generous(A,X,B), poor(B), action(X).

moralAction(A,X,B):- moral(A,A,X,B).
-moralAction(A,X,B):- -moral(A,A,X,B).
moralAction(robin_hood,give,paul)
-moralAction(robin_hood,tax,paul)
```

generous(A,give,B) :- A != B, agent(A), agent(B).

4. Rightness Process

```
RP = \langle P, \succ_e, \mathcal{A}_e, \mathcal{A}_r, EE, J \rangle
```

✓ Ethical principles for ethical evaluation:

```
ethPrinciple(perfectAct,A,X,B):-
                                     ethPrinciple(dutyNoRegrets,A,X,B):-
                                                                             ethPrinciple(dutyFirst,A,X,B):-
possibleAction(A,X,B),
                                     possibleAction(A,X,B),
                                                                             possibleAction(A,X,B),
desirableAction(A,A,X,B),
                                     not -desirableAction(A,A,X,B),
                                                                             moralAction(A,X,B),
not -desirableAction(A,A,X,B),
                                     moralAction(A,X,B),
                                                                             not -moralAction(A,X,B).
                                     not -moralAction(A,X,B).
moralAction(A,X,B),
not -moralAction(A,X,B).
                                    ethPrinciple(desireNoRegret,A,X,B):-
                                                                             ethPrinciple(noRegret,A,X,B):-
ethPrinciple(desireFirst,A,X,B):-
                                                                             possibleAction(A,X,B),
                                    possibleAction(A,X,B),
possibleAction(A,X,B),
                                                                             not -desirableAction(A,A,X,B),
                                    desirableAction(A,A,X,B),
desirableAction(A,A,X,B),
                                                                             not -moralAction(A,X,B).
                                    not -desirableAction(A,A,X,B),
not -desirableAction(A,A,X,B).
                                    not -moralAction(A,X,B).
```

4. Rightness Process

✓ If paul is the only poor agent, marian is not married and robin_hood is not poor, robin_hood obtains the evaluation:

Principle Intention	perfAct	dutNR	desNR	dutFst	nR	desFst			
give, paul	上	Т	Т	T	Τ	Т			
give,little_john	上	\perp	\perp	上	Τ	Т			
give, marian	上	上	丄		T				
give,prince_john	上	Т	丄		T				
give,peter	上	上	丄		T	Т			
steal,little_john	上	上	丄		T				
steal, marian	上	上	丄		T	Т			
steal,prince_john	上	上	Т		T	Т			
steal, peter	上	工	T	1	T	T			
court, marian	上		T	1	T	T			
wait,robin_hood	上	上	工	1	Т				
1									

✓ All principles are ordered with respect to robin_hood's preferences:

```
prefEthics(A,X,Z):-prefEthics(A,X,Y), prefEthics(A,Y,Z).
```

- o transitivity for the preference relationship: perfAct \succ_{ρ} dutNR \succ_{ρ} desNR \succ_{ρ} dutFst \succ_{ρ} nR \succ_{ρ} desFst
 - o the order on the ethical principles

```
prefEthics(robin_hood, perfectAct, dutyNoRegrets).
prefEthics(robin_hood, dutyNoRegrets, desireNoRegret).
prefEthics(robin_hood, desireNoRegret, dutyFirst).
prefEthics(robin_hood, dutyFirst, noRegret).
prefEthics(robin_hood, noRegret, desireFirst).
```

✓ Finally, the judgment **J** is implemented as:

```
existBetter(PE1,A,X,B):-
  ethPrinciple(PE1,A,X,B),
  prefEthics(A,PE2,PE1),
  ethPrinciple(PE2,A,Y,C).
ethicalJudgment(PE1,A,X,B):-
  ethPrinciple(PE1,A,X,B),
  not existBetter(PE1,A,X,B).
```

Consequently, the rightful action \mathcal{A}_r for robin_hood is give (paul) which complies with dutNR

5. Multi-agent ethical judgment

✓ In order to allow a **blind judgment**, authors introduced a new belief about the behavior of another agent:

```
done(little_john,give,peter).
```

Then robin hood compares its own rightful action and this belief to judge little john with:

```
blindJudgment(A,ethical,B):-
  ethicalJudgment(_,A,X,C), done(B,X,C), A!=B.

blindJudgment(A,unethical,B):-
  not blindJudgment(A,ethical,B),
  agent(A), agent(B),
  done(B,_,_), A!=B.
```

In here, the action give to peter was not in \mathcal{A}_r for robin_hood.

Then little_john is judged unethical by robin_hood.

5. Multi-agent ethical judgment

✓ Partial-knowledge judgment
 replace a part of robin_hood's knowledges and states by those of little_john

pkJudgment(A,ethical,B): ethicalJudgment(_,A,X,C), done(B,X,C), A!=B.

pkJudgment(A,unethical,B): not pkJudgment(A,ethical,B),
 agent(A), agent(B),
 done(B,_,_), A!=B.

with the beliefs of little_john (which believes that peter is a poor agent and paul is a rich one),
 robin_hood judged him ethical.

5. Multi-agent ethical judgment

✓ Full-knowledge judgment robin_hood's beliefs, desires, moral rules and ethical preferences are replaced by those of little_john fkJudgment(A,ethical,B):ethicalJudgment(_,A,X,C), done(B,X,C), A!=B.
fkJudgment(A,unethical,B):not fkJudgment(A,ethical,B), agent(A), agent(B), done(B,_,_), A!=B.
judgment of robin_hood: the action of little_john is judged ethical. robin_hood is able to reproduce the whole Ethical Judgment Process of little_john and compare both judgments of a same action.

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Conclusion

1. Related works

✓ This work:

- full rationalist approach
- avoids any representation of emotions to be able to justify the behavior of an agent in terms of moral values, moral rules and ethical principles to ease the evaluation of its conformity with a code of deontology or any given ethics
- values and goals (desires) must be separated
- focuses on the need of representing theory of the right as a set of principles to address the issue of moral dilemmas

- ✓ C. Battaglino, R. Damiano, and L. Lesmo. "Emotional range in value-sensitive deliberation"
 - o full intuitionistic approach
 - evaluates plans from emotional appraisal
 - o the values are only source of emotions
- √ V. Wiegel and J. van den Berg. "Combining moral theory, modal logic and MAS to create well-behaving artificial agents"
 - logic-based approach, modeling moral reasoning with deontic constraints
 - a way to implement a theory of good and is used to implement model checking of moral behavior
 - ethical reasoning is only considered as meta-level
 - only suggested as the adoption of a less restrictive model of behavior

Conclusion

2. Summary

EJP:

uses three notions: moral values, moral rules and ethical principles

- ✓ Values describe partial state or action in a given context.
- ✓ Moral rules describe if a state or an action or their abstract description through values are moral or immoral.
- ✓ Ethical principles describe how beliefs about capability, desirability and morality of actions interact to give a rightful action.

As ethical principles are ordered through a lexicographic preference relationship, an ethical agent is an agent which intend to execute the action which rightful according the most preferred ethical principle.

✓ Benefits of this model:

- an agent can use in order to judge the ethical dimension its own behavior
- o an agent can use in order to judge the ethical dimension its the other agents' behaviors.
- allows to compare ethics of different agents
- designed as a module to be plugged on existing architectures to provide an ethical layer in an existing decision process
- defines a guideline for a forthcoming definition of collective ethics

✓ Shortcomings of this model:

- o lacks to deal with the authority and the value system
- ethical principles need to be more precisely defined in order to capture the various set of theories suggested by philosophers

Conclusion

3. Future work

- ✓ Explore various uses of this ethical judgment through the implementation of existing codes of conduct
 - o e.g. medical and financial deontologies
 - o in order to assess the genericity of this approach
- ✓ Extend this model to quantitative evaluations in order to assess how far from rightfulness or goodness a behavior is.
 - useful to define a degree of similarity between two morals or two ethics to facilitate the distinction between different ethics from an agent perspective
- ✓ Extend the EJP model in order to make ethical cooperation and ethical collective decision making

Authors publication

1. Multi-Agent Based Ethical Asset Management

http://www.nicolascointe.eu/papers/EDIA16.pdf

They have implemented a multi-agent system that simulates a financial market where some autonomous ethical trading agents exchange assets.

2. Ethics-based Cooperation in Multi-Agent Systems

http://www.nicolascointe.eu/papers/SSC18.pdf

They mapped a model of ethical judgment process EJP into a BDI agent model and defined mechanisms to build images depicting the conformity of a behavior with respect to an ethics or morals.

- √ how agents can use these images to decide about trusting other agents in order to cooperate and delegate actions.
- ✓ how far from an ethics or a moral theory a behavior is, especially when ethics and morals lie in the hidden personal motivations and rules of a set of heterogeneous agents.

Thank you!